## **SEMESTER - V**

PROGRAME NAME: B.COM(H)

## PAPER NAME: FINANCIAL MARKETS, INSTITUTIONS AND FINANCIAL SERVICES

## **QUESTION BANK**

- 1. What is Capital Market? What Functions does capital market perform? What are its various constituents?
- 2. Discuss the composition of Money Market.
- 3. Define Call Money Market. Discuss recommendations given by various committees.
- 4. "Discount house play an important role in money market" Discuss.
- 5. Discuss in brief some of the important players in financial sectors.
- 6. Discuss the guidelines given by RBI with regard to commercial paper.
- 7. Discuss the various guideline regarding to certificate of deposit market.
- 8. What are the various issues? Discuss its methods.
- 9. Explain in detail various Functionaries operating in stock exchange in Indi
- 10 How is total risk of an individual stock measured? What two types of risk make up total risk?
- 11. Disuses in brief RBI guidelines in regard to call money market.
- 12. Define Treasury bill Market. Explain its importance in brief.
- 13. Explain various functions of New Issue Market in brief.
- 14. Discuss the difference between capital market and Money market.
- 15. Explain Rolling Settlement in brief.
- 16. Explain the difference between Treasury bill and commercial bill.
- 17. What is ETF? Difference between Factoring and Forfaiting
- 18. What is the role of Merchant bankers in providing of fee based advisory services.
- 19. Write in Brief some of important Pre-Issue and post-issue obligations of merchant bankers.
- 20. Write down an equation representing the short run equilibrium in foreign currency markets. explain the intuition behind your model. Given your answer to part (a), and assuming everything else remains unchanged. Illustrate and explain the impact of an increase in expected inflation on the spot exchange rate.

- 21 SEBI and IRDA are two important regulatory agencies for capital markets and insurance segment, respectively in India. Discuss the measures taken by SEBI in the recent past for investor protection.
- 22 Give an overview of Indian money market.
- 23 Discuss in brief the life and non-life insurance organizations in India.
- 24. Mutual funds are extremely important in the financial system of an economy. Do you agree? Explain.
- 25 What is the role of Commercial banking in working capital finance and project finance in India?
- 26 Write a short note on functions of Reserve Bank of India.
- 27. What do we mean by Non-banking finance companies (NBFC's). Explain their major activities.
- 28. What is the regulatory framework for merchant banking in India? What are the pre & post issue management activities undertaken by. a merchant banker.
- 29. Financial services industry in India has grown remarkably during the last few years. Do you agree ? Explain various developments in brief.
- 30. Write short notes on:
- (i) Housing finance
- (ii) Financial lease
- (iii) Letter of credit
- (iv) Banking Guarantees